



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,491	07/01/2003	Vahid Saadat	USGI-004C (31150-1140)	3557
40518	7590	09/19/2006		
LEVINE BAGADE HAN LLP 2483 EAST BAYSHORE ROAD, SUITE 100 PALO ALTO, CA 94303			EXAMINER YABUT, DIANE D	
			ART UNIT	PAPER NUMBER

3734

DATE MAILED: 09/19/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/612,491

Applicant(s)

SAADAT ET AL.

Examiner

Diane Yabut

Art Unit

3734

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 13 September 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7,9,16,26,27 and 32-38 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-7,9,16,26,27 and 32-38 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 01 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 11/3/04; 6/10/05; 7/29/05.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____.

DETAILED ACTION

Election/Restrictions

1. Applicant's election without traverse of Species 1, directed to Figures 1-3 and 8A-8H in the reply filed on 13 September 2006 is acknowledged.
2. Claims 8, 10-15, 17-25, and 28-31 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to nonelected species, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 13 September 2006

Information Disclosure Statement

3. The information disclosure statements (IDS) submitted on 3 November 2004, 10 June 2005, and 29 July 2005 are acknowledged. The submissions are in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statements are being considered by the examiner.

Claim Objections

4. Claims 7 and 37 are objected to because of the following informalities: On line 2 of Claim 7 it reads "stabilization device on of the delivery catheter" and should be changed to --stabilization device on the delivery catheter--. On lines 1 and 2 of Claim 37 it reads "bring the first and second tissue walls adjacent result in" and should be

Art Unit: 3734

changed to --bringing the first and second tissue walls adjacent results in--. Appropriate correction is required.

Claim Rejections - 35 USC § 112

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claim 35 recites the limitation "the suture" in line 8 of the claim. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 103

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 1, 3-7, 9, 26-27, and 32-38 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Koike et al.** (U.S. Patent No. **6,056,760**) in view of **Gannoe et al.** (U.S. Patent No. **6,746,460**) and **Laufer et al.** (U.S. Pub. No. **20040194790**).

Claims 1, 6, 7, and 38: Koike et al. discloses providing a delivery catheter **1** having a needle **2**, or piercing element, translatably disposed therein, a distal end, one or more anchors **4** disposed within the needle, and sutures **T₁** and **T₂** coupled to the anchors, advancing the delivery catheter and needle through the a first tissue wall and then

through a second tissue wall, and ejecting a first anchor from a distal tip of the needle on a first side of the first tissue wall and ejecting a second anchor from the needle on a second side of the second tissue wall (Figures 6-10). Koike et al. discloses the claimed device except for a stabilization device disposed at the distal end, engaging the stabilization device to a tissue wall of the gastrointestinal lumen before advancing the catheter through the tissue wall, and advancing the delivery catheter and needle into the gastrointestinal lumen, or tract of a patient.

Gannoe et al. teaches delivering the delivery catheter and needle, or tissue piercing element into the gastrointestinal lumen, or tract of a patient in order to reduce the amount of food desired by patients who may be obese (col. 1, lines 12-30 and 52-67). It would have been obvious to one of ordinary skill in the art at the time of invention to provide a tissue piercing element into the gastrointestinal lumen, as taught by Gannoe et al., to Koike et al. in order to aid obese patients in managing the amount of food desired and eaten.

Laufer et al teaches a stabilization device **740** disposed at the distal end, engaging the stabilization device to a tissue wall of the gastrointestinal lumen before advancing the catheter through the tissue wall (Figure 4A and page 3, paragraphs 79 and 83). It would have been obvious to one of ordinary skill in the art to provide a stabilization device that engages with the a GI tissue, as taught by Laufer et al., to Koike et al. since it was known in the art that tissue piercing elements may injure or tear tissue from translating or puncturing, as well as withdrawing, retracting movement if the tissue is not stabilized and a stabilization device may prevent injury to the tissue.

Art Unit: 3734

Claims 26, 32, and 35: Koike et al. discloses the claimed device, including withdrawing the piercing element from the catheter through tissue and using a connection element in tying together suture threads T_1 and T_2 (see explanation for Claims 1, 6, 7, and 38 above), except for engaging and pulling a tissue wall of the gastrointestinal lumen to create a tissue fold, holding the tissue fold within the patient, moving the first and second anchors on either side of the tissue fold, and maintaining the tissue fold via the anchor and the suture and a connection element.

Gannoe et al. teaches engaging and pulling a tissue wall of the gastrointestinal lumen to create a tissue fold, holding the tissue fold within the patient, moving anchors on either side of the tissue fold, and maintaining the tissue fold via the anchor and the suture and a connection element (Figure 4A). It would have been obvious to one of ordinary skill in the art to provide the step of creating a tissue fold, as taught by Gannoe et al., to Koike et al., since it was known in the art that obesity may be treated by forming folds in the gastrointestinal lumen which aids obese patients in managing the amount of food desired and eaten.

Claim 3: Koike et al. discloses ejecting an anchor from a distal tip of the needle comprising translating a push rod 3 disposed in the needle (Figure 1 and col. 4, lines 58-67).

Claims 4 and 9: Koike et al. and Gannoe et al. disclose the claimed device except for the stabilization device comprising a coil 740, or tissue holding element, having a sharpened tip and engaging the stabilization device to the tissue wall comprising

Art Unit: 3734

rotating the coil to engage the coil into the tissue wall before advancing the catheter through the first tissue wall. See explanation for Claims 1, 6, 7, and 38.

Claim 5: Koike et al. discloses advancing the needle through the tissue wall further comprising translating the needle distally through the delivery catheter (Figures 6-10).

Claim 27: Koike et al. discloses the claimed device except for providing a second anchor including a suture coupled thereto and creating a second tissue fold on an opposing tissue wall.

Gannoe et al. teaches providing a second anchor including a suture coupled thereto and creating a second tissue fold on an opposing tissue wall (Figure 5A and col. 5, lines 23-37). It would have been obvious to one of ordinary skill in the art to provide a second tissue fold, as taught by Gannoe et al., to Koike et al. since it was known in the art that multiple folds greatly reduces the area of a gastrointestinal lumen, and again, aids obese patients in managing the amount of food desired and eaten.

Claims 33, 34, 36, and 37: Koike et al. discloses the claimed device except for forming the tissue fold, or bringing the first and second tissue walls adjacent, results in reducing the cross sectional area of a lumen in a patient, as well as the volume of an organ.

Gannoe et al. teaches forming the tissue fold, or bringing the first and second tissue walls adjacent, results in reducing the cross sectional area of a lumen in a patient, as well as the volume of an organ. See explanations for Claims 1, 6, 7, 26, 27, 32, 35, and 38.

9. Claims 2 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Koike et al.** (U.S. Patent No. **6,056,760**), **Gannoe et al.** (U.S. Patent No. **6,746,460**) and **Laufer et al.** (U.S. Pub. No. **20040194790**), as applied to Claims 1 and 6 above, and further in view of **Matsui et al.** (U.S. Patent No. **6,352,503**).

Claims 2 and 16: Koike et al., Gannoe et al., and Laufer et al. discloses the claimed device except for an imaging element in the vicinity of the distal end of the delivery catheter and using the imaging element to provide visual guidance during engagement of the stabilization device to the tissue wall.

Matsui et al. teaches an imaging element **1** in the vicinity of the distal end of the delivery catheter and using the imaging element to provide visual guidance during engagement of the stabilization device to the tissue wall (col. 5, lines 18-30). Matsui et al. teaches that the use of an endoscope can readily and positively perform treatment on a body cavity of a patient (col. 1, lines 47-51 and col. 2, lines 1-16). It would have been obvious to one of ordinary skill in the art to provide an imaging element, as taught by Matsui et al., to Koike et al., Gannoe et al., and Laufer et al. in order to perform treatment on a body cavity readily and positively perform surgery on a body cavity.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Diane Yabut whose telephone number is (571) 272-6831. The examiner can normally be reached on M-F: 9AM-4PM EST.

Art Unit: 3734

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hayes can be reached on (571) 272-4959. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

DY

A handwritten signature in black ink, appearing to read "M J Hayes", with a stylized flourish at the end.

MICHAEL J. HAYES
SUPERVISORY PATENT EXAMINER